LAPS Rec'd PCT/PTO 02 OCT 2006

Attorney's Docket No.: 18115-002US1 / SEN-A0123P-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minoru Yoshida et al.

Art Unit : 1654

Serial No.: 10/505,380

Examiner: Unknown

Filed

: June 17, 2005

Conf. No.: 5153

Title

: HISTONE DEACETYLASE INHIBITORS AND METHODS FOR PRODUCING

THE SAME

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

The following documents relating to this application are enclosed:

- 1. Request for Corrected Official Filing Receipt (2 pages).
- 2. Copy of Corrected Filing Receipt (3 pages).
- 3. Copy of combined Declaration and Power of Attorney (6 pages).
- 4. Postcard.

Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050. Respectfully submitted,

Teresa A. Lavoie, Ph.D.

Reg. No. 42,782

Fish & Richardson P.C. 60 South Sixth Street **Suite 3300**

Minneapolis, MN 55402 Telephone: (612) 335-5070 Facsimile: (612) 288-9696

60384581.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: 18115-002US1 / SEN-A0123P-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minoru Yoshida et al.

Art Unit : 1654

Serial No.: 10/505,380

Examiner: Unknown

Filed:

: June 17, 2005

Conf. No.: 5153

Title

: HISTONE DEACETYLASE INHIBITORS AND METHODS FOR PRODUCING

THE SAME

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application which was mailed on May 26, 2006 (copy enclosed). The third-named inventors name should read **SUEHARU HORINOUCHI** as evidenced by the enclosed combined Declaration and Power of Attorney of record.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

Applicant: Minoru Yoshida et al.

Serial No.: 10/505,380 Filed: June 17, 2005

Page : 2 of 2

Attorney's Docket No.: 18115-002US1 / SEN-A0123P-

ZI

Respectfully submitted,

Date: 9/28/56

Fish & Richardson P.C. 60 South Sixth Street Suite 3300 Minneapolis, MN 55402

Telephone: (612) 335-5070 Facsimile: (612) 288-9696

60384577.doc

Teresa A. Lavoie, Ph.D.

Reg. No. 42,782



ा

11

!:

4

Ñ

łi.

JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Adecardes, Vignia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 1050 10/505,380 06/17/2005 1654 9 18115-002US1 8

26191 FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022

CONFIRMATION NO. 5153 CORRECTED FILING RECEIPT AT INCOLOR THE COLUMN TO BE SOMETHING TO SERVE AND A SERVE AND *OC000000018975527*

Date Mailed: 05/26/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Minoru Yoshida, Saitama, JAPAN; Norikazu Nishino, Fukuoka, JAPAN; Saeharu Horinouchi, Tokyo, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 26191.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/01859 02/20/2003

Foreign Applications

JAPAN 2002-044000 02/20/2002

If Required, Foreign Filing License Granted: 05/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/505,380**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR

1,53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

11

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>HISTONE DEACETYLASE INHIBITORS AND METHODS FOR PRODUCING THE SAME</u>, the specification of which:

[] [X]		2004 as Application Serial No. <u>10</u>	/505,380 and was amend	ded
D	on was described and claime on an	ed in PCT International Application and as amended under PCT Article	No	_ filed ·
including the	claims, as amended by any	wed and understand the contents of amendment referred to above.		
with Title 37,	Code of Federal Regulation			
I her application(s)	eby claim the benefit under listed below:	r Title 35, United States Code, §119	P(e)(1) of any United Stat	es provisional
_1	U.S. Serial No.	Filing Date	Status	
listed below a United States acknowledge of Federal Re	and, insofar as the subject and application in the manner	er Title 35, United States Code, §12 matter of each of the claims of this provided by the first paragraph of formation I know to be material to paragraph available between the filing of this application:	application is not disclos Title 35, United States C atentability as defined in	Code, §112, I Title 37, Code
	U.S. Serial No.	Filing Date	Status	
		•		

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application No.	Filing Date	Priority Claimed
PCT	PCT/JP03/01859	February 20, 2003	[x] Yes [] No [x] Yes [] No
Japan	2002-44000	February 20, 2002	

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard J. Anderson, Reg. No. 36,732 Dorothy P. Whelan, Reg. No. 33,814 J. Patrick Finn III, Ph.D., Reg. No. 44,109 Teresa A. Lavoie, Ph.D., Reg. No. 42,782 Mary Ann Dillahunty, Reg. No. 34,576 Mark S. Ellinger, Ph.D., Reg. No. 34,812 H. Sanders Gwin, Jr., Reg. No. 33,242 Ronald C. Lundquist, Ph.D., Reg. No. 37,875 M. Angela Parsons, Ph.D., Reg. No. 44,282 Ruffin B. Cordell, Reg. No. 33,487

Combined Declaration and Power of Attorney Page 2 of 2 Pages

Direct all telephone calls to TERESA A. LAVOIE, PH.D. at telephone number (612) 335-5070.

Direct all correspondence to the following:

26191 PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor:	MINORU YOSHIDA	
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Minom Joshida Saitama, Japan 334-0059 Japan 655-21, Angyo, Kawaguchi-shi Saitama, Japan 334-0059	Date: <u>November 13,</u> 2009
Full Name of Inventor:	NORIKAZU NISHINO	
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Fukuoka, Japan 808-0104 Japan 1-6-6, Hatakeda, Wakamatsu-ku Kitakyushu-shi Fukuoka, Japan 808-0104	Date:
Full Name of Inventor:	SUEHARU HORINOUCHI	
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Tokyo, Japan 135-0044 Japan 1-3-16-403, Ecchu-jima, Koto-ku Tokyo, Japan 135-0044	Date:

60245922.doc

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>HISTONE DEACETYLASE INHIBITORS AND METHODS FOR PRODUCING THE SAME</u>, the specification of which:

[] [X]	on	004 as Application Serial No. 10/5 in PCT International Application I d as amended under PCT Article 19	No. filed
including the	claims, as amended by any	red and understand the contents of the amendment referred to above.	
with Title 37	, Code of Federal Regulatio	ns, §1.56.	terial to patentability in accordance
I he application(s	reby claim the benefit under) listed below:		e)(1) of any United States provisional
	U.S. Serial No.	Filing Date	Status
listed below United State acknowledge	and, insofar as the subject not application in the manner of the duty to disclose all informations, §1.56(a) which local international filing date	provided by the first paragraph of Instantion I know to be material to parabecame available between the filing of this application:	O of any United States application(s) pplication is not disclosed in the prioritle 35, United States Code, §112, I tentability as defined in Title 37, Code, date of the prior application and the
	U.S. Serial No.	Filing Date	Status
Lh		benefits under Title 35, United Sta	

application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

	Amplication No.	Filing Date	Priority •	Claimed
PCT Japan	Application No. PCT/JP03/01859 2002-44000	February 20, 2003 February 20, 2002	[x] Yes [x] Yes	[] No [] No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard J. Anderson, Reg. No. 36,732 Dorothy P. Whelan, Reg. No. 33,814 J. Patrick Finn III, Ph.D., Reg. No. 44,109 Teresa A. Lavoie, Ph.D., Reg. No. 42,782 Mary Ann Dillahunty, Reg. No. 34,576 Mark S. Ellinger, Ph.D., Reg. No. 34,812 H. Sanders Gwin, Jr., Reg. No. 33,242 Ronald C. Lundquist, Ph.D., Reg. No. 37,875 M. Angela Parsons, Ph.D., Reg. No. 44,282 Ruffin B. Cordell, Reg. No. 33,487

Combined Declaration and Power of Attorney

Page 2 of 2 Pages

Direct all telephone calls to TERESA A. LAVOIE, PH.D. at telephone number (612) 335-5070.

Direct all correspondence to the following:

26191 PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor:	MINORU YOSHIDA		
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Saitama, Japan 334-0059 Japan 655-21, Angyo, Kawaguchi-shi Saitama, Japan 334-0059	Date:	
Full Name of Inventor: Inventor's Signature: Residence Address: Citizenship: Post Office Address:	NORIKAZU NISHINO horhazu hishi se Fukuoka, Japan 808-0104 Japan 1-6-6, Hatakeda, Wakamatsu-ku Kitakyushu-shi Fukuoka, Japan 808-0104	Date:	hovember 12, 2004
Full Name of Inventor: Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Tokyo, Japan 135-0044 Japan 1-3-16-403, Ecchu-jima, Koto-ku Tokyo, Japan 135-0044	Date:	
60245922.doc			,

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>HISTONE DEACETYLASE INHIBITORS AND METHODS FOR PRODUCING THE SAME</u>, the specification of which:

[]	is attached her	reto. August 20, 2004_ as Apj	olication Serial No. 10/505,	380 and was amended
0	on		ternational Application No. ed under PCT Article 19 on	filed
including the	e claims, as ame	nded by any amendmen	referred to above.	bove-identified specification,
with Title 37	7, Code of Feder	al Regulations, §1.56.		al to patentability in accordance
I he application(ereby claim the b s) listed below:	enefit under Title 35, U	nited States Code, §119(e)(l) of any United States provisional
	U.S. Serial No	<u>F</u>	iling Date	Status
				any United States application(s) ication is not disclosed in the prior 35. United States Code, §112. I
United State acknowledg	and, insofar as es application in the the duty to dis Regulations, §1.5	the subject matter of each the manner provided by	the first paragraph of Title now to be material to patent ilable between the filing dat	ication is not disclosed in the prior 35, United States Code, §112, I ability as defined in Title 37, Code te of the prior application and the
United State acknowledg	and, insofar as es application in the the duty to dis Regulations, §1.5	the subject matter of each the manner provided by close all information I key (a) which became availabiling date of this app	the first paragraph of Title now to be material to patent ilable between the filing dat	35, United States Code, §112, I ability as defined in Title 37, Code
United State acknowledg	and, insofar as es application in the duty to disceptible the duty to disceptible the properties of th	the subject matter of each the manner provided by close all information I key (a) which became availabiling date of this app	the first paragraph of Title now to be material to patential library data the filing data lication:	ability as defined in Title 37, Code te of the prior application and the
I h application country oth	e and, insofar as application in the duty to disceptulations, §1 U.S. Serial Note the dereby claim fore (s) for patent or iter than the Unite	the subject matter of each the manner provided by close all information I key (a) which became availability date of this appoint of the company of the compa	the first paragraph of Title now to be material to patent ilable between the filing data lication: Filing Date der Title 35, United States of any PCT international applied below and have also ident pational application(s) designation(s) designa	235, United States Code, §112, I ability as defined in Title 37, Code te of the prior application and the Status Code, §119 of any foreign plication(s) designating at least one cified below any foreign application gnating at least one country other and a filing date before that of the
I h application country oth	r and, insofar as as application in the duty to disk Regulations, §1.3 PCT internations U.S. Serial Note that the U.S. Serial Note than the Uniter inventor's certaited States of An (s) of which price that the Uniter inventor's certains are serious of which price that the Uniter inventor's certains of the U.S. Serial Note than the Uniter inventor's certains of the U.S. Serial Note than the Uniter inventor's certains of the U.S. Serial Note than the Uniter inventor's certains of the U.S. Serial Note that the	the subject matter of each the manner provided by close all information I knows all filing date of this apportunity benefits unit of the subject of the subj	the first paragraph of Title now to be material to patent ilable between the filing data lication: Filing Date der Title 35, United States of any PCT international application(s) desire same subject matter having the filing Date	235, United States Code, §112, I ability as defined in Title 37, Code te of the prior application and the Status Code, §119 of any foreign plication(s) designating at least one diffied below any foreign application gnating at least one country other and a filing date before that of the Priority Claimed
I h applications than the Unapplications	rand, insofar as as application in the duty to discrept the duty of the	the subject matter of each the manner provided by close all information I key (a) which became available filing date of this apportunity benefits unit of the control of th	the first paragraph of Title now to be material to patent ilable between the filing data lication: Filing Date der Title 35, United States of any PCT international application(s) desired same subject matter having the first paragraph of the same subject matter having the same	235, United States Code, §112, I ability as defined in Title 37, Code te of the prior application and the Status Code, §119 of any foreign plication(s) designating at least one tified below any foreign application gnating at least one country other ng a filing date before that of the

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all

Richard J. Anderson, Reg. No. 36,732 Dorothy P. Whelan, Reg. No. 33,814 J. Patrick Finn III, Ph.D., Reg. No. 44,109 Teresa A. Lavoie, Ph.D., Reg. No. 42,782 Mary Ann Dillahunty, Reg. No. 34,576

business in the Patent and Trademark Office connected therewith:

Mark S. Ellinger, Ph.D., Reg. No. 34,812 H. Sanders Gwin, Jr., Reg. No. 33,242 Ronald C. Lundquist, Ph.D., Reg. No. 37,875 M. Angela Parsons, Ph.D., Reg. No. 44,282 Ruffin B. Cordell, Reg. No. 33,487

Combined Declaration and Power of Attorney Page 2 of 2 Pages

Direct all telephone calls to TERESA A. LAVOIE, PH.D. at telephone number (612) 335-5070.

Direct all correspondence to the following:

60245922.doc

26191 PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor:	MINORU YOSHIDA	
(muontor's Signature	·	Date:
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Saitama, Japan 334-0059 Japan 655-21, Angyo, Kawaguchi-shi Saitama, Japan 334-0059	
Full Name of Inventor:	NORIKAZU NISHINO	
I		_ Date:
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Fukuoka, Japan 808-0104 Japan 1-6-6, Hatakeda, Wakamatsu-ku Kitakyushu-shi Fukuoka, Japan 808-0104	
Full Name of Inventor:	SUEHARU HORINOUCHI	Mars 1 ap 12 22001/
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Tokyo, Japan 135-0044 Japan 1-3-16-403, Ecchu-jima, Koto-ku Tokyo, Japan 135-0044	_ Date: November 12,2004